

**Amendments to the Claims:**

*Please note the insertion of terms “t\_allow”, “t\_no\_filter”, “t\_prevent”, “t\_open”, “t\_DD”, “t\_bad\_from”, “t\_suspect\_domain”, “t\_echo\_domain”, “t\_to\_from”, “t\_forged\_domain”, “sender\_address” and “DD\_0” have underscores which may not be visible with the underlining.*

This listing of claims will replace all prior versions, and listings, of claims in the application:

**Listing of Claims:**

**Claims:** We claim:

1) (Currently Amended) An unsolicited message rejecting communications processor connected to

message transfer agents

MTA\_0 with an Internet address of IP\_0, ~~from address~~ sender\_address A\_0, declared domain of D\_0, and ~~actual real~~ domain of DD\_0, and

MTA\_1 with an Internet address of IP\_1 and ~~to address~~ recipient A\_1

comprising:

- a) monitoring means for monitoring the communications between MTA\_0 and MTA\_1;
- b) determining means for determining if the communications contains an unsolicited message; and
- c) intercepting means for intercepting a RCPT command from MTA\_0 and sending an error reply to MTA\_0 if the message is determined to be unsolicited[.].

whereby MTA\_1 controls the interaction between MTA\_0 and MTA\_1 before a RCPT command from MTA\_0 is received by the unsolicited message rejecting communications processor and

whereby the connection with MTA\_0 is rejected by the intercepting means before the data portion of the unsolicited message is transmitted.

- 2) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes [[a]] an allow\_address database and wherein the determining means determines if a message is not unsolicited by checking if the IP\_0 is in the allow\_address database.
- 3) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes a prevent\_address database and wherein the determining means determines if a message is unsolicited by checking if IP\_0 is in the prevent\_address database.
- 4) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes access to a open relay database and wherein the determining means determines if a message is unsolicited by checking if IP\_0 is in the open relay database.
- 5) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes access to a DNS (domain name server) database and wherein the determining means determines if a message is unsolicited by checking if IP\_0 has a domain name entry DD\_0 in the DNS database.
- 6) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes a bad\_from database and wherein the

- determining means determines if a message is unsolicited by checking if the ~~from-address~~ sender\_address A\_0 is in the bad\_from database.
- 7) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes a suspect\_domain database and wherein the determining means determines if a message is unsolicited by checking if the ~~actual~~ real domain DD\_0 matches the domain of ~~from-address~~ sender\_address A\_0 and the domain of ~~from-address~~ sender\_address A\_0 is in the suspect\_domain database.
- 8) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, wherein the determining means determines if a message is unsolicited by checking if the ~~from-address~~ sender\_address A\_0 matches the ~~to-address~~ recipient (A\_1).
- 9) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes a no\_filter database and wherein the determining means determines if the message is to be blocked if it is determined to be unsolicited by checking if the recipient A\_1 is in the no\_filter database.
- 10) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, wherein the determining means determines if a message is unsolicited by checking if the declared domain D\_0 of MTA\_0 is the same as the domain D\_1 of MTA\_1.
- 11) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, wherein the determining means determines if a message is unsolicited by checking if the declared domain D\_0 of MTA\_0 does not match the

real domain ~~DD\_1~~ DD\_0 and the declared domain D\_0 is in the suspect\_domain database.

12) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes a rejected\_connection database which logs the time, ~~from-address~~ sender\_address A\_0, ~~to-address~~ recipient A\_1, and the reason for the rejection if a message is rejected if the message is determined to be unsolicited.

13) (Currently Amended) The unsolicited message ~~blocking~~ rejecting communications processor in Claim 1, further includes ~~[[a]]~~ an allowed\_connection database which logs the time and ~~to-address~~ recipient A\_1 if the message is determine not to be unsolicited.

14) (Currently Amended) A method for

a receiving networked computer system with an Internet connection, a mail transport agent MTA\_1, an Internet address IP\_1, ~~to-address~~ recipient A\_1, and an operating system capable of executing the method to reject unsolicited messages from

a transmitting networked computer system with an Internet connection and a message transfer agent MTA\_0, an Internet address IP\_0, ~~from-address~~ sender\_address A\_0, declared domain D\_0, and ~~actual~~ real domain DD\_0 comprising the steps of:

- a) waiting for a new SMTP connection request;
- b) relaying and monitoring the replies from MTA\_0 to MTA\_1;
- c) relaying replies from MTA\_1 to MTA\_0;
- c') allowing MTA\_1 to control the interaction between MTA\_0 and MTA\_1 until a RCPT reply is received from MTA\_0;
- d) intercepting the RCPT reply from MTA\_0 to MTA\_1;
- e) determining if the message is unsolicited by analyzing the monitored replies;
- f) releasing the intercepted RCPT reply if the message is determined not to be unsolicited; ~~and~~
- g) sending [[a]] an error reply to MTA\_0 if the message is determined to be unsolicited; and
- h) rejecting the connection with MTA\_0 before the data portion of the unsolicited message is transmitted if the message is determined to be unsolicited.

~~whereby MTA\_1 controls the interaction between MTA\_0 and MTA\_1 until a RCPT  
command is received from MTA\_0 and  
whereby the connection with MTA\_0 is rejected before the data portion of the  
unsolicited message is transmitted.~~

15) (Currently Amended) A method for

a receiving networked computer system with an Internet connection, a mail transport agent MTA\_1, IP address IP\_1, a domain name D\_1, a recipient, A\_1, an allow\_address database, a prevent\_address database, a suspect\_domain database, a bad\_from database, a no\_filter database, a rejected\_connection database, an allowed\_connection database, and an operating system capable of executing the method

to reject unsolicited messages from

a transmitting networked computer system with an Internet connection, a message transfer agent MTA\_0, an IP address of IP\_0, a declared domain name D\_0, a real domain name DD\_0, and a sender address of A\_0

comprising the steps of:

- a) waiting for a SMTP connection request on the receiving networked computer system's Internet connection;
- b) sending a 220 reply to MTA\_0 to acknowledge the requested connection;
- c) extracting IP address IP\_0 from the connection request;
- d) testing if the DNS database has a domain name DD\_0 for IP\_0;
- e) testing if IP\_0 is in an open relay database;
- f) testing if IP\_0 is in the allow\_address database;
- g) testing if IP\_0 is in the prevent\_address database[[,]];
- h) requesting a connection with MTA\_1;
- i) waiting for a 220 reply from MTA\_1 to acknowledge the requested connection;
- j) waiting for a reply from either MTA\_0 or MTA\_1;

- k) jumping to step n) if the reply is not from MTA\_1;
- l) relaying the reply from MTA\_1 to MTA\_0;
- m) jumping to step j) to wait for a new reply;
- n) jumping to step t) if the reply from MTA\_0 is not a **HELO**;
- o) extracting domain D\_0 from the reply;
- p) testing if declared domain D\_0 of MTA\_0 matches domain D\_1 of MTA\_1;
- q) testing if declared domain D\_0 does not match real domain DD\_0 of MTA\_0  
AND declared domain D\_0 is in the suspect\_domain database;
- r) relaying the HELO reply from MTA\_0 to MTA\_1;
- s) jumping to step j) to wait for a new reply;
- t) jumping to step z) if reply from MTA\_0 is not a **MAIL**;
- u) extracting ~~from address~~ sender\_address A\_0;
- v) testing if A\_0 is in the bad\_from database;
- w) testing if DD\_0 does not match the domain of A\_0 and the domain of A\_0 is in  
the suspect\_domain database;
- x) relaying MAIL reply to MTA\_1;
- y) jumping to step j) to wait for a new reply;
- z) jumping to step kk) if reply from MTA\_0 is not a **RCPT**;
- aa) extracting ~~to address~~ recipient A\_1;
- bb) testing if A\_1 is in no\_filter database;
- cc) testing if A\_0 matches A\_1;



- dd) jumping to step hh) if NOT(t\_allow OR t\_no\_filter OR NOT ( t\_prevent OR t\_open OR t\_DD[[-]] OR t\_bad\_from OR t\_suspect\_domain OR t\_to\_from OR t\_echo\_domain OR t\_forged\_domain)[[]]) ;
- ee) logging time and ~~to-address~~ recipient A\_1 in the allowed\_connection database;
- ff) relaying RCPT reply to MTA\_1;
- gg) jumping to step j) to wait for a new reply;
- hh) logging the time, ~~from-address~~ sender\_address A\_0, ~~to-address~~ recipient A\_1, and the reason for rejecting the connection in the rejected\_connection database;
- ii) rejecting the connection to MTA\_0 by sending a 550 reply to MTA\_0;
- jj) jumping to step j) to wait for a new reply;
- kk) jumping to step vv) if reply from MTA\_0 is not DATA;
- ll) relaying DATA reply to MTA\_1;
- mm) waiting for a 354 reply from MTA\_1;
- nn) relaying the 354 reply from MTA\_1 to MTA\_0;
- oo) waiting for the data from MTA\_0;
- pp) relaying the data from MTA\_0 to MTA\_1;
- qq) waiting for a .\r\n from MTA\_0;
- rr) relaying the .\r\n from MTA\_0 to MTA\_1;
- ss) waiting for a 250 reply from MTA\_1;
- tt) relaying the 250 reply to MTA\_0;
- uu) jumping to step j) to wait for a new reply;
- vv) jumping to step yy) if reply from MTA\_0 is not RSET, SEND, ~~SCML~~ SOML, SAML, VRFY, NOOP, EXPN, HELP, or TURN;

ww) relaying reply to MTA\_1;  
xx) jumping to step j) to wait for a new reply;  
yy) jumping to step ddd) if reply from MTA\_0 is not a QUIT;  
zz) relaying the QUIT reply to MTA\_1;  
aaa) waiting for 221 reply from MTA\_1;  
bbb) relaying 221 reply from MTA\_1 to MTA\_0;  
ccc) jumping to step a) to wait for a new connection;  
ddd) sending a 500 reply to MTA\_0 to signal a syntax error; and  
eee) jumping to step a) to wait for a new connection[[.]],

wherein t\_allow represents the results of the testing in step (f); t\_no\_filter represents the results of the testing in step (bb); t\_prevent represents the results of the testing in step (g); t\_open represents the results of the testing in step (e); t\_DD represents the results of the testing in step (d); t\_bad\_from represents the results of the testing in step (v); t\_suspect\_domain represents the results of the testing in step (w); t\_echo\_domain represents the results of the testing in step (p); t\_to\_from represents the results of the testing in step (cc); and t\_forged\_domain represents the results of the testing in step (q).

- 16) (New) The method of claim 14, wherein the determining comprises checking if the IP\_0 is in a allow\_address database.
- 17) (New) The method of claim 14, wherein the determining comprises checking if IP\_0 is in a prevent\_address database.

- 18) (New) The method of claim 14, wherein the determining comprises checking if IP\_0 has a domain name entry DD\_0 in a DNS database.
- 19) (New) The method of claim 14, wherein the determining comprises checking if the real domain DD\_0 matches the domain of sender\_address A\_0 and the domain of sender\_address A\_0 is in a suspect\_domain database.
- 20) (New) The method of claim 14, wherein the determining comprises checking if the declared domain D\_0 of MTA\_0 does not match the real domain DD\_0 and the declared domain D\_0 is in the suspect\_domain database.